



Incorporating the 21-gene Recurrence Score (RS) Results in Breast Cancer Treatment Decisions in Real-life Clinical Practice: The Clalit Health Services (CHS) Experience (2006-2018)

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Background



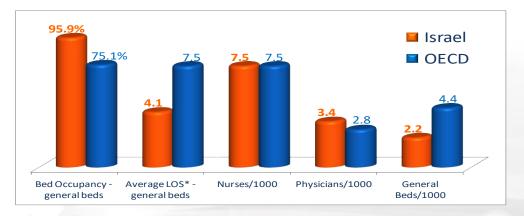
- CHS is the largest HMO in Israel, insuring 53% of the Israeli population (4.5 million individuals)*
- CHS approved reimbursement of the RS assay for N0 HR+ HER2-negative breast cancer (BC) patients in January 2006
 - CHS extended the approval to include N1mi/N1 patients in January 2008
- Since CHS approval of the assay, a total of about 900 N0 patients and N1mi/N1 patients yearly underwent RS testing through CHS

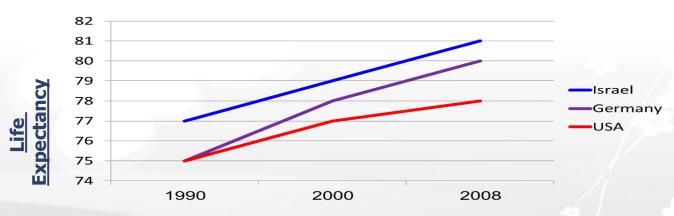
Israel's Health System





- >8 million inhabitants (wide diversity of ethnicities)
- National obligatory Health Insurance to all (1995)
- Must be member in 1 of 4 health plans (insurer/provider)
- HP funding by capitation (age, sex and periphery)
- <1% move annually between health plans
 - 90% 'happy' or 'very happy' with their health plan







Clalit Health Services

since 1911 – sick fund – Bismarck system



- Largest HMO in Israel ("2nd Largest HMO in the world")
- 52 Market Share in Israel (>4.2 M members)
 - Overrepresentation of the sick, poor and elderly
- ~2000 community clinics including Child Health Centers, Women Health Centers and large Consultant Medicine Clinics, >3,000 pcp's, >2,000 nurses
- 14 hospitals General, Children, Psychiatric, Rehabilitation & Geriatric
- Electronic information since 1980's today a fully computerized system with a comprehensive EHR





Clalit health services



- >32,000 Employees
- 2013 budget >6 B \$
- 14 Hospitals
- 425 Pharmacies
- 60 Dentist Clinics
- 25 Laboratories
- 54 Medical Imaging Institutes connected by PAX tech. to the EMR
- 17 Research Centers including Central community research



A unique health system



- Healthcare insurer and provider (>54% coverage)
- Primary, Secondary and Tertiary care unified look
- Long term incentives (very low attrition rate)
- Emphasis on innovation and data



Data in Clalit



Centralized Data Warehouse

- Inpatient and outpatient detailed data
- Single EMR Coverage in all <u>community</u> clinics
- Smoking (willing to quit), BMI, BP measures...
- Also Labs, Pharmacies, imaging...
- Detailed Socio-demographic data, Costs
- Chronic Disease Registries (>180)

Full life-span, ID-tagged, Geo-coded EMR-based data on > 4M people



How we "entered" the field? February 13, 2006:



A breakthrough and news for breast cancer patients:

'Clalit Health Services' will fund the most advanced test for breast cancer patients that will determine if they require chemotherapy.

The test, called 'Oncotype DX', allows identifying which patients will benefit from a chemotherapy treatment, and which patients can be spared this harsh treatment.



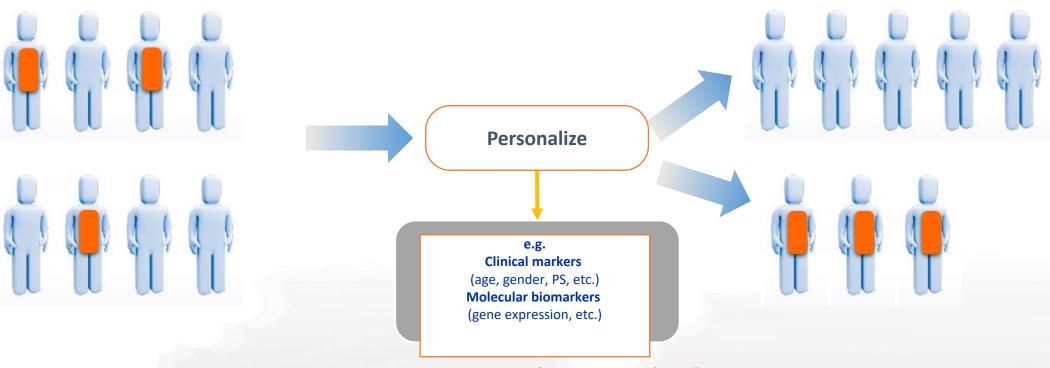




- In any medical insurance system **Competition** is the name of the game and it should be on
 - quality, service and innovation
- OncotypeDX-Breast presented the opportunity to tell breast cancer patients, with a sound level of certainty that after the surgery they are probably "healthy" !!!
- A wide psychological gap exists between the definition of a cancer patient, and a "cured" one. This impacts the health status of the whole family, it's life style, it's spirit.



The scope of Personalized medicine: כללית היי טובה למשפחה selecting patients for specific therapy



Select patients that benefit the most Avoid side effects for patients with little/no benefit Cost effectiveness



The scope of Personalized medicine: כללית הכי טובה למשפחה selecting patients for specific therapy

- ➤ Personalized Medicine Helps to achieve better results for patients
- ➤ Personalized Medicine Can help to reduce adverse reactions
- ➤ Personalized Medicine Improves allocation of healthcare resources and helps avoiding unnecessary costs



Current treatment decision making in breast cancer



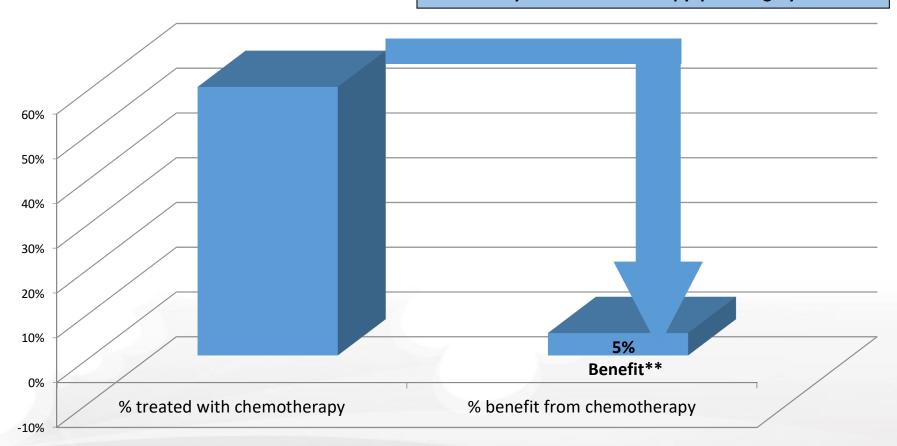
- Current management of breast cancer includes surgery and one or several of the following treatments:
 - Chemotherapy
 - Hormone therapy
 - Radiotherapy
- Current treatment decisions are based on clinical and pathological criteria (e.g. age, tumour size, tumor grade, nodal status and receptors)
- These criteria do not allow a specific selection of patients that need chemotherapy



The unmet need in treatment כללית שנה decisions following surgery



Current practice patterns lead to over-treatment with cytotoxic chemotherapy post-surgery

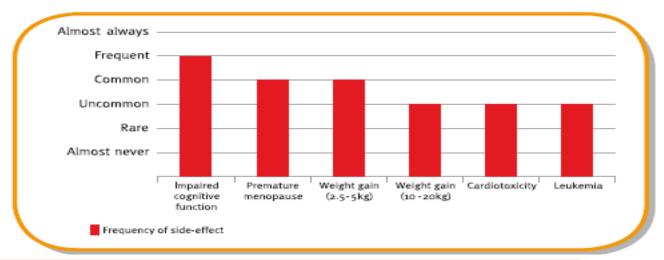


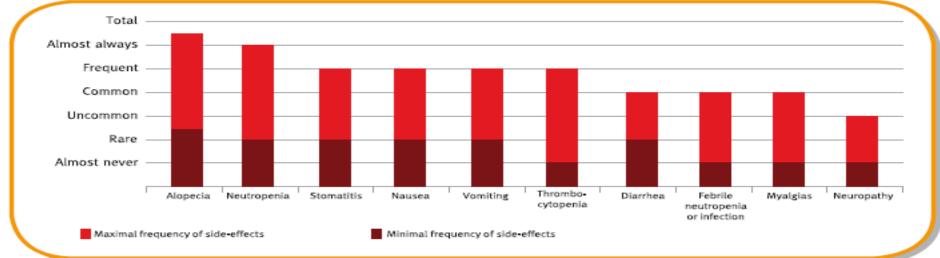


Ineffective chemotherapy can be harmful



 Chemotherapy can be associated with long- and short-term side effects* leading to an increased comorbidity







מתקדמים למטופל Chemotherapy represents a significant burden to the מתקדמים למטופל



Working life: More absence from work

More have to quit their job

Family life:

- More time off work from family members to support patient
- Conscious and sub-conscious harm to children
- Private / sex life impact

Social life:

- Loss of income
- Social declassification

Toxicity impact:

- Short- and long-term adverse events of chemotherapy regimens
- Patient well-being

- The burden to the patients and their families translates into additional out of pocket expenses:
 - A Mexican study* showed that the additional cost to the patient is around \$1,000 *Gomez-Rico JA et al, 2008.



And now back to our case – The process



- Oncologists cooperation Imperative !!!!
- Accepted guidelines/protocol
- Medical and economical survey and sharing of the DATA with the clinicians
- The decision was to introduce the new technology for Clalit's ensurees accompanied by a common research with the company and the oncologists
- The oncologist ordering the test will declare his "intention to treat".



Economical and Clinical Applications



- The use of OncotypeDX Breast assay changed the treatment recommendation in 40% of the cases
 - 84% Shifted from hormonal therapy + chemo to hormonal therapy only.
 - 8% of high risk patients by RS shifted from hormonal therapy to hormonal
 + chemo Lives saved !!!
- Shifting from combination Chemo + Hormonal therapy to Hormonal therapy only = side effect prevention and better health status.
- Preventing disease recurrence for some of the patients found to be high risk by the assay.



Cost-effectiveness ratio



The QALY is based on the number of years of life that would be added by the intervention. Each year in perfect health is assigned the value of 1.0 down to a value of 0.0 for death.

Cost with Oncotype DX – Cost without Oncotype DX

QALYs with Oncotype DX – QALYs without Oncotype DX

\$1,828

0.170 QALYs

= \$10,770 per **QALY** gained



Nice to mention



- CHS approved reimbursement of the RS assay for N0 HR+ HER2-negative breast cancer (BC) patients in January 2006 – NCCN guidelines in 2007
 - CHS extended the approval to include N1mi/N1 patients in January 2008
- Since CHS approval of the assay, a total of about 900 N0 patients and N1mi/N1 patients yearly underwent RS testing through CHS







CHS Initial Health Economics (HE) Analysis

• In 2010, CHS published a cost-effectiveness analysis based on decision impact data collected from the first 368 N0 BC patients who underwent RS testing through CHS. The clinical outcome data used for the model were from the published literature (NSABP B-14, B-20).

Volume 13 • Number 4 • 2010 VALUE IN HEALTH

Economic Implications of 21-Gene Breast Cancer Risk Assay from the Perspective of an Israeli-Managed Health-Care Organization

Shmuel H. Klang, PhD, Ariel Hammerman, PhD, Nicky Liebermann, MD, Noa Efrat, MD, Julie Doberne, BS, John Hornberger, MD, MS^{3,4}







CHS Initial HE Analysis: Results

- 40% of patients had treatment recommendation changes after RS testing
- Of these 40%, 84% were changed from chemotherapy plus endocrine therapy to endocrine therapy alone
- The net QALY gained was 0.170 years per patient
- The cost per QALY gained was \$10,770

HE, health economics, QALY, quality-adjusted life-years Klang SH, et al. *Value Health*. 2010;13(4):381-7.





The CHS Registry

- Collecting clinical outcome data from RS-tested BC patients was planned by CHS, in concert with the assay reimbursement approval
- The resulting prospective registry includes *all* patients who were RS-tested through CHS across Israel regardless of where the patients receive medical care (CHS-affiliated hospitals, government hospitals, private medical centers)







Analyzing the CHS Registry: Goals

- Investigating the relationship between the RS results and adjuvant chemotherapy treatment decisions
- Investigating the relationship between the RS results and distant recurrence/breast cancer specific mortality (BCSM)
 - To the extent possible, assessing the benefit of adjuvant chemotherapy in RSbased risk categories
- Assessing the HE of RS testing (in progress)







Published Reports Based on the CHS Registry: 5-year Data, NO Patients



www.nature.com/npjbcancer

ARTICLE OPEN

Clinical outcomes in patients with node-negative breast cancer treated based on the recurrence score results: evidence from a large prospectively designed registry

Salomon M. Stemmer^{1,2}, Mariana Steiner³, Shulamith Rizel¹, Lior Soussan-Gutman⁴, Noa Ben-Baruch⁵, Avital Bareket-Samish⁶, David B. Geffen⁷, Bella Nisenbaum⁸, Kevin Isaacs⁹, Georgeta Fried¹⁰, Ora Rosengarten¹¹, Beatrice Uziely¹², Christer Svedman¹³, Debbie McCullough¹³, Tara Maddala¹³, Shmuel H. Klang^{14,15}, Jamal Zidan^{16,17}, Larisa Ryvo¹⁸, Bella Kaufman^{2,19}, Ella Evron^{2,20}, Natalya Karminsky²¹, Hadassah Goldberg^{17,22}, Steven Shak¹³ and Nicky Liebermann¹⁴







Published Reports Based on the CHS Registry: 5-year Data, N1mi/N1 Patients



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ARTICLE OPEN

Clinical outcomes in ER+ HER2 -node-positive breast cancer patients who were treated according to the Recurrence Score results: evidence from a large prospectively designed registry

Salomon M. Stemmer^{1,2}, Mariana Steiner³, Shulamith Rizel¹, David B. Geffen⁴, Bella Nisenbaum⁵, Tamar Peretz⁶, Lior Soussan-Gutman⁷, Avital Bareket-Samish⁸, Kevin Isaacs⁹, Ora Rosengarten¹⁰, Georgeta Fried¹¹, Debbie McCullough¹², Christer Svedman¹², Steven Shak¹², Nicky Liebermann¹³ and Noa Ben-Baruch¹⁴





Published Reports Based on the CHS Registry: 10-year Data, NO/N1mi Patients

San Antonio Breast Cancer Symposium - December 5-9, 2017 \$CLALIT 100 years Real-life Analysis Evaluating > 1000 N0/N1mi Estrogen Receptor (ER)+ Breast Cancer Patients for whom Treatment Decisions Incorporated the 21-gene Recurrence Score (RS) Result: Clinical Outcomes with Median Follow up of Approximately 9 Years Multivariable Analyses Table 2. Multivariable model of distant recurrence (n = 1245). 2.5 (1.2-5.3) 3 vs 1 N1mi vs N0 50-59 years 507 (33%) Risk of Distant Recurrence in CT-treated and Untreated NO Patients ≥80 years in the greatest dimension, on Figure 3. KM distant recurrence curves in NO patients with RS 18-25 and 26-30 by CT use Risk of Distant Recurrence/BC Death in NO Patients Treated with Endocrine *********** Float Strong In Electric St. 17 State (1871, CE) . Log-rank test was used to compare distant recurrence rates and BC deaths across RS groups Adjuvant CT use was <1%, 3%, 17%, 52%, and 89% for #5 <11, 11-17, 18-25, 26-30, ≥31, respectively, consistent therefore the contract Distant Recurrence Rates and BC Death Rates KM estimates for 10-year distant recurrence and BC death rates in both NO and RI 1mi patients differed significantly between the RS groups IP <0.00 t; log-rank test; Figure 1). . The RS result was predictive of late recurrence (P = 0.022; data not shown)







CHS Registry Analysis: 10-year Data

• **Objective:** To investigate 10-year distant recurrence and BCSM in N0/N1mi ER+ HER2-negative BC patients who underwent RS testing through CHS



Methods



- Exploration of the maturing CHS data with long-term follow up
- Key inclusion criteria:
 - CHS patients with N0/N1mi BC who underwent RS testing between 1/2006 (CHS approval of the assay) and 12/2009 (N0) or 6/2010 (N1mi)
 - ER+
- Key exclusion criteria:
 - Patients for whom the test is not indicated: Stage 4, ER-negative, HER2+ (by IHC or RT-PCR)
 - Neoadjuvant treatment
 - Recurrence within 6 months of testing



Methods (cont.)



- Data source:
 - RS, tumor characteristics: Teva
 - Treatments, recurrences: Medical records
 - Deaths: Interior Ministry registry/medical records
 - Additional/supporting information: CHS billing system
- Statistical considerations: Descriptive statistics, 10-year KM estimates for distant recurrence/BCSM, multivariable analysis





	N = 1540		
Female	99%		
Age, median (IQR), years	60 (52-66)		
Age category			
<40 years	2%		
40-49 years	14%		
50-59 years	33%		
60-69 years	35%		
70-79 years	15%		
≥80 years	1%		
Nodal involvement			
NO	89%		
N1mi	11%		

	N = 1540		
Tumor size, median (IQR), cm	1.5 (1.2, 2.0)		
Tumor size category			
≤1 cm	21%		
>1-2 cm	55%		
>2 cm	23%		
Unknown	1%		
Tumor grade category			
Grade 1	15%		
Grade 2	50%		
Grade 3	17%		
Not applicable/Unknown ^a	19%		
Histology			
IDC	81%		
ILC	12%		
Mucinous/colloid	3%		
Papillary	1%		
Other/unknown	4%		

\$CLALIT

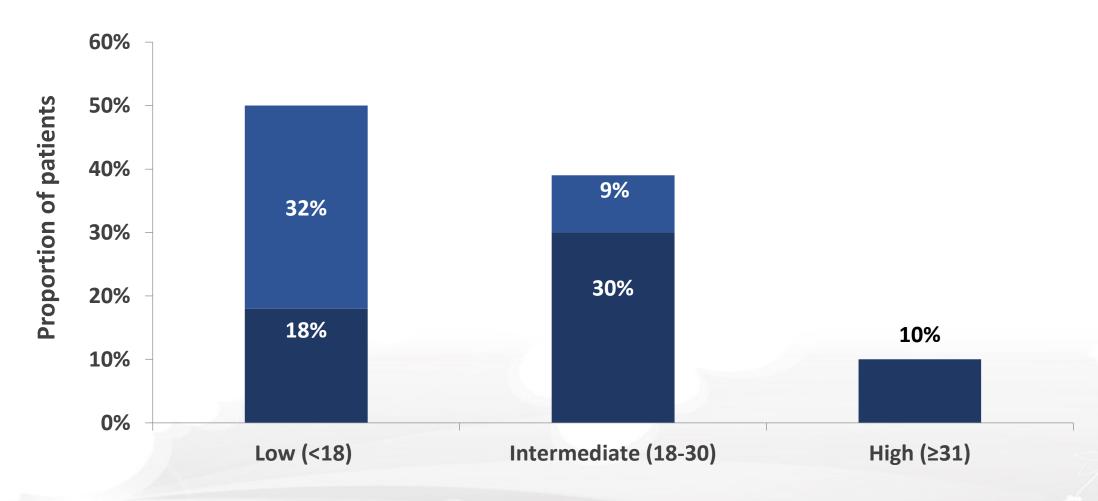
^a 60% of unknown tumor grade are ILC.



RS Distribution (N = 1540)





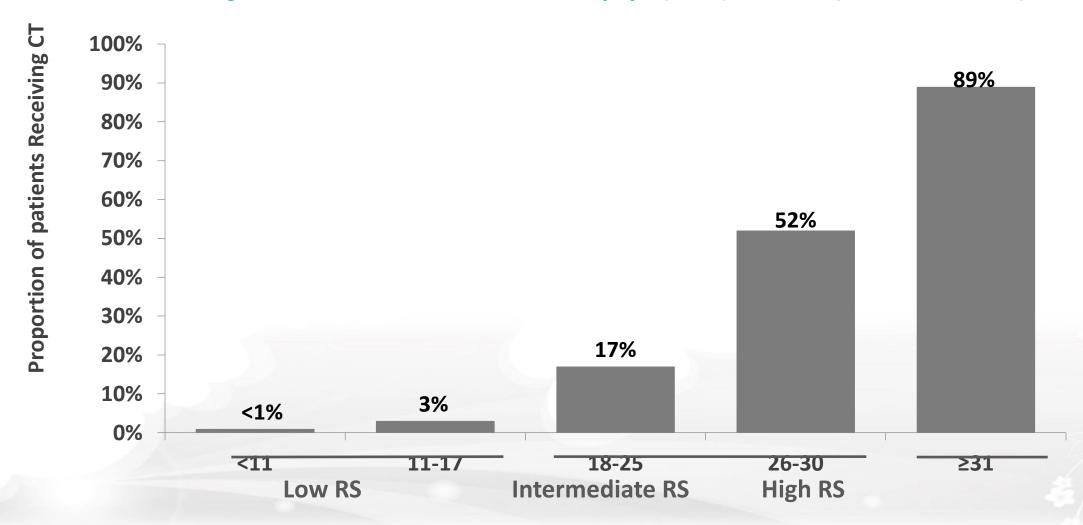








Adjuvant Chemotherapy (CT) Use (N = 1540)



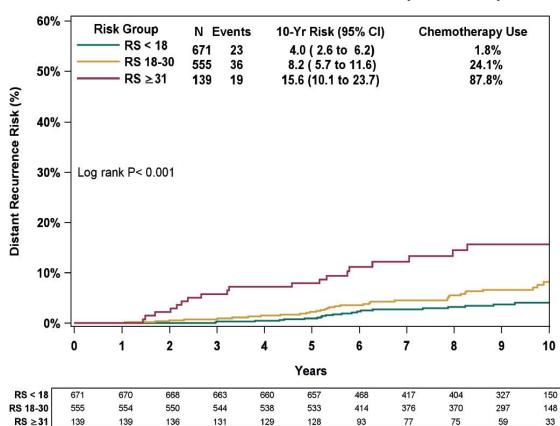




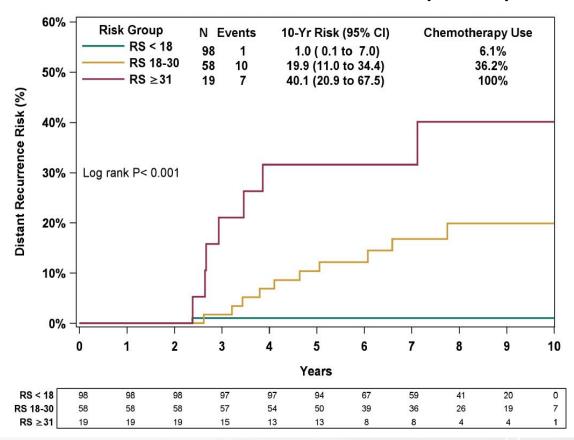


Distant Recurrence Risk by RS Group in NO and N1mi Patients

NO Patients (n = 1365)



N1mi Patients (n = 175)



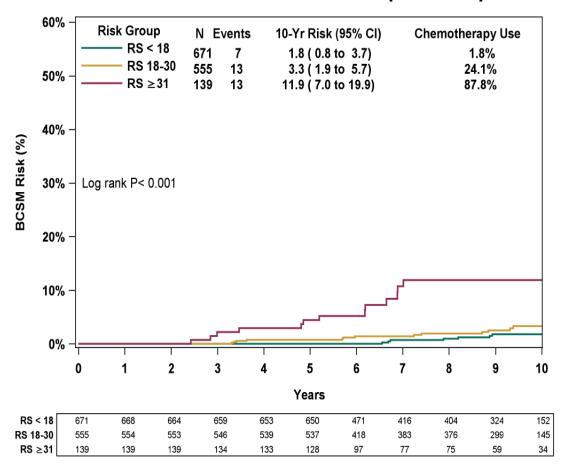


BCSM by RS Group in N0 and N1mi Patients

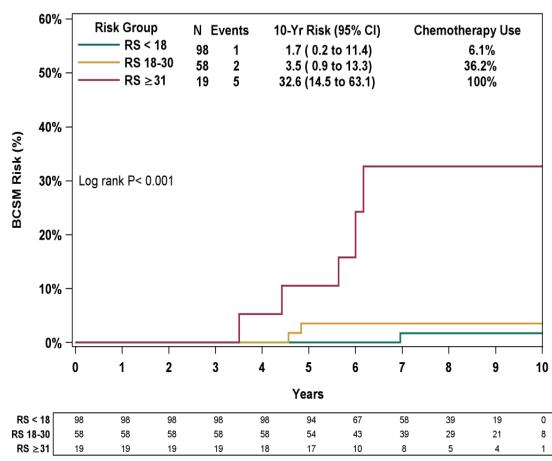




NO Patients (n = 1365)



N1mi Patients (n = 175)





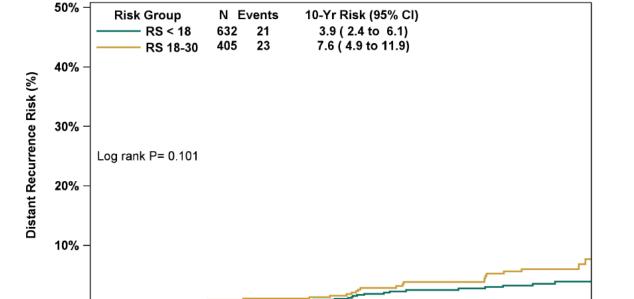


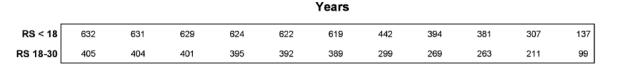


Risk of Distant Recurrence and BCSM in NO Patients Treated with Endocrine Therapy Alone

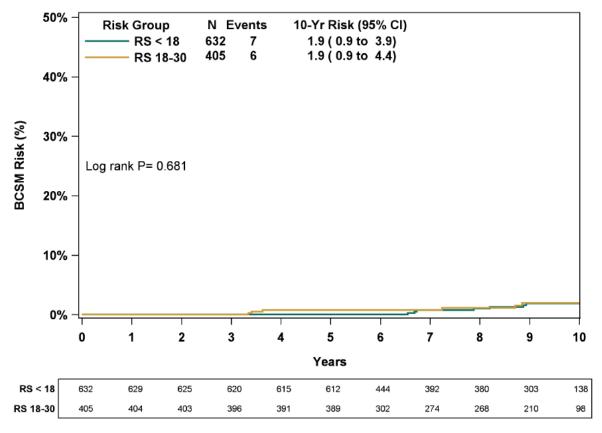
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Distant Recurrence (n = 1037)





BC Specific Mortality (n = 1037)



Median follow-up: 9.0/7.6 years for N0/N1mi patients.

One-degree of freedom log-rank P values were calculated from all the data.





Multivariable Analysis of Distant Recurrence (n = 1245)



Variable	HR	95% CI	<i>P</i> -value
RS:			
18-30 vs <18	2.8	1.5-5.1	<0.001
≥31 vs <18	6.0	3.0-11.9	
Age: 50-69 vs <50	1.0	0.5-1.9	0.003
≥70 vs <50	2.5	1.2-5.3	0.005
Size: ≥2 cm vs <2 cm	2.3	1.4-3.7	<0.001
Grade:	2.4	0.9-6.6	
2 vs 1	2.6	0.9-7.6	0.223
3 vs 1	2.0	0.5-7.0	
Nodal status: N1mi vs N0	2.9	1.7-5.2	<0.001

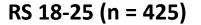
A total of 295 patients were excluded from the analysis due to missing data.

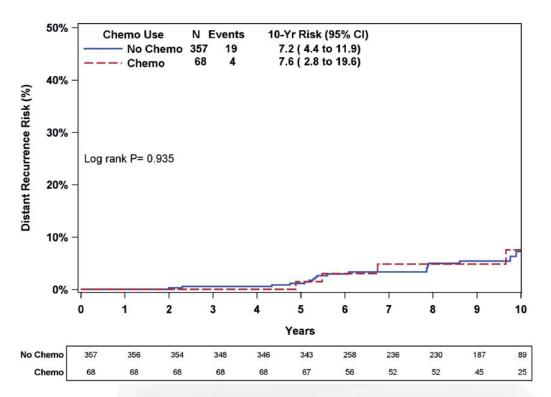






Risk of Distant Recurrence in NO Patients by CT Use (Int. RS)

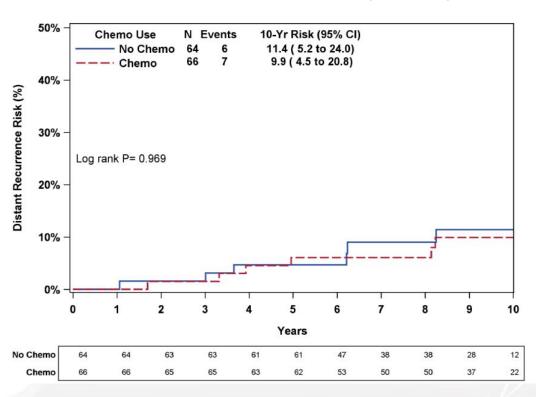




מתקדמים למטופל

בעידן החדש

RS 26-30 (n = 130)



*In RS<11 patients, none received CT; in RS 11-17 patients, <3% received CT; in RS≥31 patients <13% *did not* receive CT.



Strengths and Limitations



Strengths

- Real-life large registry analysis representing clinical practice and outcomes on a national level
 - No exclusion criteria with respect to age, gender, comorbidities, location, and socioeconomic status

Limitations

- Non-randomized
- Some subgroups are small
- Patients were not treated uniformly (e.g., chemotherapy regimens, endocrine therapy agents)
- Potential for selection bias



Conclusions



- The first reported 10-year outcome data from a large cohort of patients where the RS was used in adjuvant treatment decisions
- The RS was prognostic for 10-year distant recurrence and 10-year BCSM (*P*<0.001)
- The 10-year KM estimates for distant recurrence and BCSM in RS<18 patients were very low, despite low CT use
 - N0: CT use, 1.8%; 10-year distant recurrence risk, 4.0%, 10-year BC death risk, 1.8%
 - N1mi: CT use, 6.1%; 10-year distant recurrence risk, 1.0%, 10-year BC death risk, 1.7%



Conclusions (cont.)



- NO RS<18 patients treated with endocrine therapy alone had low risk of 10-year distant recurrence (3.9%) and BC death (1.9%)
- In N0 patients with RS 18-25, 10-year outcomes were similar in CT-treated and untreated patients
 - 7.6% in CT-treated vs 7.2% in CT-untreated patients



Clinical Implications



- In N0/N1mi patients with ER+ HER2-negative BC and RS<18, adjuvant CT can be spared as endocrine therapy alone confers very good long-term clinical outcomes
- The absolute CT benefit in N0 patients with RS 18-25 is unlikely





Use of the RS Assay in Israel: Insights כולית שנה



- Israeli oncologists were early adopters of the assay
- Use of RS testing increased over time as additional data became available (e.g., from 233 N0 patients in 2006 to 760 in 2010 and 949 in 2017)
- Currently, most eligible patients undergo RS testing (~95% of N0 patients and N1/N1mi patients)
- Most centers and many oncologists are involved in the CHS registry/analyses; furthermore, oncologists initiate various analyses of the data (e.g., by age, grade, etc)

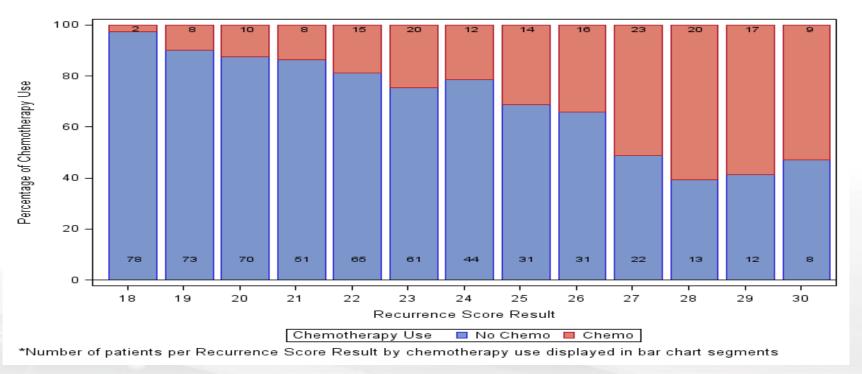




Use of the RS Assay in Israel: Insights כנרית סים שנה (cont.)



- BC treatment in Israel is based on the RS results.
 - For patients with intermediate RS results, CT use increase with increasing RS*







Thank you

