# COMMUNITY OUTCOMES

## Norfolk State University Cybersecurity Complex 6th Floor, Marie V. McDemmond Center for Applied Research November 8, 2018

Norfolk State University, Cybersecurity Complex and the National Minority Technology Council (NMTC) brings together a group of NSU Students and Community Stakeholders to conduct a

Contractor 16

research discovery process to identify gaps and assets in the Historically Black Colleges and Universities (HBCU) ecosystem that may need to be addressed in order to increase participation in federal contracting.

REGISTRATION REQUIRED USE EVENT LINK BELOW

WWW.COMMUNITYOUTCOMES.ORG

NATIONAL MINORITY TECHNOLOGY COUNCIL LOOKING FORWARD RESEARCH & DEVELOPMENT







## November 8, 2018 11:00am-3:00pm

**Norfolk State University, Cybersecurity Complex** Marie V. McDemmond Center for Applied Research 6th Floor, 555 Park Ave, Norfolk, VA 23504

Discovery!

## EARN AN INDUSTRY CERTIFICATION BADGE

**Analyst I** Technology Based Economic Development





Norfolk State University is hosting an industry driven research event designed to help the U.S. government develop a baseline "gap analysis" for HBCUs to increase participation in federal contracting and economic development.

Students are encouraged to participate and will be granted an industry-driven "Analyst I" Badge upon completion by the NMTC's Minority Cyber Inclusion Council.

Research will be conducted to identify the assets each HBCU brings to their respective regional ecosystem and what may be discovered that is in the way for HBCUs to be able to compete on large federal contracts.

#### Dr. Aurelia T. Williams

Executive Director, Cybersecurity Complex Norfolk State University

www.communityoutcomes.org/nsuresearch110818

The online registration form can be found on the link above for both observers and student researchers. On campus parking is available for registered observers.

Only students who participate in the full event will earn the Student Research Analysts I Badge.

#### Norfolk State University Cybersecurity Complex November 8, 2018

White House Initiative on HBCUs

SMART HBCU Cluster Advisory Group & Cybersecurity Working Group

Students Assembled to Support our National HBCU Ecosystem



ANCHOR INSTITUTION RESEARCH & DATA SCIENCE FOR FEDERAL CONTRACTING DEVELOPING A BASELINE THROUGH DATA RESEARCH GATHERING AND EVALUATION

11:00AM - 11:10 AM

## WELCOME

Dr. Aurelia T. Williams, Executive Director NSU Cybersecurity Complex Background & Purpose & Introduction of Research Partner

#### Brenda Cureton, National STEM Director NMTC Looking Forward Research & Development

Student researchers will conduct a team research investigation on HBCU community impact

## SESSION I

Lorne Joseph, Chief Risk Officer National Minority Technology Council

11:10 AM - 12:20 PM

Gap Analysis Research Activity - Risk Assessment

## LUNCH & LEARN

Karl Cureton, NMTC Executive Chair & Minority Cyber Inclusion Council Managing Director Defining Analyze - NICE Cybersecurity Workforce Framework

## SESSION II

Dr. Trina Reuben, Executive Member NMTC Co-Chair, Community Impact Committee

Tech Based Economic Development - GIS & Opportunity Zones

**REPORT OUT & CLOSING** 

Dr. Aurelia Williams, NSU Cybersecurity Complex Karl Cureton, NMTC Executive Chair



#### 12:30PM - 1:00 PM

1:10 PM - 2:30 PM

2:40 PM - 3:00 PM



## **RESEARCH ADDENDUM**

This addendum supplements the session activity to build context for both Observers and Student Research Analysts to bring context to the federal procurement opportunities now facing our nation's HBCU ecosystem.

## HBCUs Compete BUILDING TRUSTED NETWORKS



## Other Transaction Agreements could Benefit HBCU Research and Development Activities

**INDUSTRY FINDINGS & RECOMMENDATION** 

The following two pages were submitted to the White House Initiative on HBCUs to help frame a discussion of growth and prosperity for HBCUs and how Other Transaction Agreements are a viable procurement tool to ensure greater HBCU participation in federal contracting.

Research on HBCU federal transactions (last page) shows that less than 1% of Public or Private University federal contracting occurs with HBCUs. The November 8, 2018 Community Outcomes Research Sessions provide an opportunity for HBCU students to assist in discovering the risks & assets HBCUs bring to both the federal contracting ecosystem and to the communities they serve.



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## **National Minority Technology Council**

# HBCUs Compete

BUILDING TRUSTED NETWORKS

## Other Transaction Agreements could Benefit HBCU Research and Development Activities

### **INDUSTRY FINDINGS & RECOMMENDATION (PAGE 2)**

A January 2016 GAO Report indicated that Congress had authorized 11 federal agencies to use other transaction agreements. (https://www.gao.gov/assets/680/674534.pdf) The report explained "This authority allows an agency to enter into agreements "other than" standard government contracts or other traditional mechanisms. Agreements under this authority are generally not subject to federal laws and regulations applicable to federal contracts or financial assistance, allowing agencies to customize their other transaction agreements to help meet project requirements and mission needs. Furthermore, because fewer requirements apply, other transaction agreements can be useful in attracting entities, such as companies that have traditionally not done business with federal agencies. However, we and others have previously reported that the use of other transaction agreements carries the risk of reduced accountability and transparency, in part because such agreements may not require compliance with federal requirements, such as government cost accounting standards."

The National Minority Technology Council (NMTC) has researched this procurement methodology in our consideration as to how the great many minority technology companies (65,000) could actively participate in the federal contracting process. More specifically the over 2,000 active SBA 8A contractors or thousands of minority GSA contractors are a prime industry research partner for federal Research and Development efforts. It has been a longstanding effort to engage HBCUs in this ecosystem. In fact, NMTC was designated as an Innovation Stakeholder for a federal lab-to-market regional innovative ecosystem pilot with two HBCU associations based on the lack of minority participation with federal labs. This federal partnership was initiated based on a five-year study at the U.S. Department of Energy. Based on our engagement with multiple federal agencies and our research on Other Transaction Agreements (OTA) provide flexibility to develop customized agreements with entities and accomplish projects that the federal government could not have achieved using traditional contracting mechanisms. What is particularly beneficial about OTAs is

## **Other Transaction Agreements could Benefit HBCU** Research and Development Activities

### **INDUSTRY FINDINGS & RECOMMENDATION (PAGE 3)**

they were specifically designed for entities that have not typically done business with the federal government. This is the profile of most HBCU's and U.S. based minority technology companies. One of the key areas of concern for many minority technology companies is maintaining control of their intellectual property. Also, the accounting system requirements for some federal contracts are very burdensome and present a barrier to entry. OTAs have the flexibility to address these issues.



## FEDERAL AGENCIES These are the Agencies that Congress has

TAS DHS DOE ARPA-E HHS DHS-DNDO

NASA

NIH

DOD

FAA

DOT

Federal Agencies have different authorities for OTAs. Some of these authorities are limiting and require funding from the contractor. Department of Defence's (DoD) OTA seems most restrictive however NMTC believes that the White House Initiative on HBCUs (Initiative) is currently will suited to negotiate with each Agency to discover a willing Agency lead partner. This is key to the success of our HBCU community. NMTC leadershp attended the 2018 HBCU Conference during the Smart HBCU session it was brought up by multiple HBCU participants that there was a lack of expertise and internal accounting system capability to participate in federal contracting. There was not a lack of interest or desire, in fact the contrary. Many HBCUs shared an interest in learning more and a desire to explore opportunities into contract with the federal government.

OTA's may include a single company, joint venture, prime contractor with subcontractors, contractor team arrangements or consortium. For DoD contracts forming or joining a consortia appears to be the common approach. Consortia are formed by contractors, non-traditional contractors and academia based on industry or specialty. The Initiative may consider how it could facilitate a consortia initiative that specifically promotes the many capabilities and assets of HBCUs. This approach would indeed be historic and from NMTC's perspective be in line with the directive of President Trump's Executive Order on HBCUs.

Over the past eight years NMTC has developed a Vendor Governance algorithm to assist in the teaming process. (www.vendorgoverance.org). We are encouraged with the direction of the Initiative and stand ready to support this vital White House effort.

## **Other Transaction Agreements could Benefit HBCU** Research and Development Activities

## **INDUSTRY FINDINGS & RECOMMENDATION (PAGE 4)**

Report Completed 10/04/2018

#### Spend Data Analysis for Federal Contracts PWI vs HBCU/MSI

Research Shows Less Than 1% of Higher Ed Federal Contracting Was Awarded to HBCU/MSI Between 2008 to 2018

Higher Ed Type	Total Current Award Value
PWI	\$123,200,118,681
HBCU/MSI	\$1,083,640,575
Percentage HBCU/MSI	0.9%

HBCU/MSI By Type	Total Current Award Value	% by HBCU/MSI
MSI	\$604,837,919	55.8%
HBCU	\$434,380,400	40.1%
Hawaiian	\$43,166,928	4.0%
Tribal	\$1,247,119	0.1%
Alaskan	\$8,209	0.0%



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For More Information Contact Council@NMTCImpact.org

PWIvsHBCU-MSI.FedSpend.2008-2018.NMTC.100418.Final



#### **COUNCIL.EXCHANGE**

## LOOKING FORWARD **RESEARCH & DEVELOPMENT**

www.innovationinMotion.org

**A COMMUNITY INNOVATION PROJECT**