

## Fee Schedule

Product	Notional Trade Value	Commission Rate *	Financing Charge ^
ASSET	\$0 to \$3,500 \$3,501 +	\$7 per stock (minimum) 0.2%	4.96% +/- Base Rate
Individual DMA CFD's	\$0 to \$11,000 \$11,001 +	\$22 per stock (minimum) 0.2%	4.50% +/- Base Rate

\* AUD based trades. For all other countries, please refer to the below.

### ASSET

	Hong Kong	U.S. < \$21	U.S. > \$21	UK	Australia	Singapore
	(bps)	(cps)	(bps)	(bps)	(bps)	(bps)
<b>Client Charge</b>	<b>30</b>	<b>4</b>	<b>20</b>	<b>20</b>	<b>20</b>	<b>20</b>
<b>Minimum Charge (per individual CFD)</b>	<b>HKD 49.00</b>	<b>USD 7.00</b>	<b>USD 7.00</b>	<b>GBP 7.00</b>	<b>AUD 7.00</b>	<b>SGD 7.00</b>

For US stock values below \$21 per share the commission fees are in cps (cents per share) not Basis Points

### Example

Every time you buy, sell or rebalance an ASSET you will be charged a brokerage fee, for example 0.20%, or a minimum of \$7 per CFD, for AUD based ASSETs. Further to brokerage costs, you will also be charged financing fees, which is industry standard when trading leveraged products such as CFD's. Financing is charged at 4.96% +/- Base Rate (1-month Bank Bill Swap Rate) annually.

- A) If you buy \$10,000 notional exposure (equivalent of \$1000 margin requirements) to the Smart Banks Australia ASSET (assuming equal weighting applied across all 5 constituents), you would have paid \$35 in brokerage fees, representing 0.175% of your overall exposure. Please refer to the below breakdown:

$$\begin{aligned}
 & \$10,000 \text{ total notional value} \\
 & \$10,000 / 5 \text{ stocks} = \$2,000 \text{ notional per stock} \\
 & \$2,000 * 0.2\% \text{ commission} = \$4 \\
 & \text{Minimum of } \$7 \text{ applies to each stock} \\
 & \$7 * 5 \text{ stocks} = \$35 \text{ total commission on entry}
 \end{aligned}$$

- B) If you buy \$25,000 notional exposure (equivalent of \$2500 margin requirements) to the Smart Banks Australia ASSET (assuming equal weighting applied across all 5 constituents), you would have paid \$50 in brokerage fees, representing 0.2% of your overall exposure. Please refer to the below breakdown:

$$\begin{aligned}
 & \$25,000 \text{ total notional value} \\
 & \$25,000 / 5 \text{ stocks} = \$5,000 \text{ notional per stock}
 \end{aligned}$$

$\$5,000 * 0.2\% \text{ commission} = \$10$

$\$10 * 5 \text{ stocks} = \$50 \text{ total commission on entry}$

If this position was held for 182 days (an approximate 6-month period), assuming a Base Rate of 1.88%, financing charges would equate to \$852.66 ( $(\$25,000 * 6.84\%) * (182/365)$ ) representing 3.41% of your overall exposure.

### Individual DMA CFD's

	Hong Kong	U.S. < \$21	U.S. > \$21	UK	Australia	Singapore
<b>Basis Points/Cents Per Share</b>	(bps)	(cps)	(bps)	(bps)	(bps)	(bps)
<b>Client Charge</b>	30	4	20	20	20	20
<b>Minimum Charge (per each CFD order)</b>	HKD 150.00	USD 20.00	USD 20.00	GBP 20.00	AUD 22.00	SGD 20.00

*For US stock values below \$21 per share the commission fees are in cps (cents per share) not Basis Points*

### Example

Every time you buy or sell CFDs you will be charged a brokerage fee, for example 0.20%, or a minimum of \$22 per order, for AUD based CFDs. Further to brokerage costs, you will also be charged financing fees, which is industry standard when trading leveraged products such as CFD's. Financing is charged at 4.50% +/- Base Rate (1-month Bank Bill Swap Rate) annually.

For example, if you buy 285 CBA CFDs at \$70.00 per CFD (\$19,950 notional exposure, equivalent of \$1995 margin requirement), you would have paid \$39.90 in brokerage fees ( $\$19,950 * 0.2\%$ ).

If this position was held for 182 days (an approximate 6-month period), assuming a Base Rate of 1.88%, financing charges would equate to \$634.66 ( $(\$19,950 * 6.38\%) * (182/365)$ ) representing 3.18% of your overall exposure.

^ Applies to trades in all currency denominations. Base rates are variable on a month by month basis. For the relevant base rate for each country, please refer to the below.

### ASSET & Individual DMA CFD's

Currency	Country	Base rate
SGD	Singapore	1-month Singapore Interbank Offered Rate
HKD	Hong Kong	1-month Hong Kong Interbank Offered Rate
USD	US	1-month USD London Interbank Offered Rate
AUD	Australia	1-month Bank Bill Swap Rate
JPY	Japan	1-month JPY London Interbank Offered Rate
GBP	UK	1-month GBP London Interbank Offered Rate
EUR	Europe	1-month Euro Interbank Offered Rate

## Forex & CFD's

For a breakdown of associated fees when trading FX, please review USGFX's FSG contained on their website (<https://www.usgfx.com/>), or email us at [derivativesdesk@asrw.com.au](mailto:derivativesdesk@asrw.com.au).

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